West Ridge Advisors, LLC

("West Ridge" or "Company")

Business Continuity Plan

Michael D. Nestman
BCP Designated Principal

October 2025

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1. PREFACE

Despite the efforts of each business, state and local government and federal agency to renovate, validate, and implement their mission-critical information systems, the financial industry remains vulnerable to the disruption of its business processes. Because most companies in the financial industry are highly dependent on information technology to conduct their business, a business disruption induced failure of one or more mission critical system(s) may have a severe impact on a financial services firm's ability to deliver core business services.

Because of these risks, the United States Securities and Exchange Commission ("SEC") has implemented programs to attempt to mitigate the risks associated with business failures in the financial industry which would be caused by an event causing a failure to deliver financial services because of a significant business disruption. Specifically, the SEC has required that firms create and maintain business continuity plans.

The business continuity planning process focuses on reducing the risk of business failures resulting from business disruptions. It safeguards a company's ability to produce a minimum acceptable level of output and services in the event of failures of internal or external mission-critical information systems and services. While it does not offer a long-term solution to all situate ions which create a significant business disruption, it will help West Ridge Advisors to prepare for a potential crisis.

2. REGULATORY BACKGROUND

2.1. General Overview

Based upon regulations and rules implemented by the SEC and other state securities regulators (collectively "Regulators"), and being held to the fiduciary standard, investment advisers are required to protect its clients' interests from being placed at risk because of the adviser's inability to provide financial services after a disaster, death of a key personnel or other interruption of business. Additionally, this business continuity plan is intended to address our obligation as an investment adviser to establish and maintain procedures to safeguard and maintain their records from destruction or loss.

2.2. Plan Elements

The Business Continuity Plan (the "BCP Plan") for West Ridge Advisors (referred to herein as the "West Ridge," "Company," "our," "us," or "we") addresses the 10 critical elements listed below:

1. Data back-up and recovery (hard copy and electronic).
2. All mission critical systems.
3. Financial and operational assessments.
4. Alternate communications between clients and West Ridge.

5. Alternate communications between West Ridge, and its employees, supervised persons, and independent contractors providing clerical and advisory support to our clients (collectively "supervised persons").
6. Alternate physical location of employees.
7. Critical business constituents, banks, and counter-party impact.
8. Regulatory reporting.
9. Communications with regulators.
10. How West Ridge will ensure clients' prompt access to their funds and securities if West Ridge determines that it is unable to continue its business.

3. PLAN OVERVIEW

3.1. Company Policy

The policy of the Company is to respond to a Significant Business Disruption ("SBD") by safeguarding employees' lives and Company property, making a financial and operational assessment, quickly recovering, and resuming operations, protecting all the Company's books and records, and to the extent practicable, allowing our clients to transact business as soon as possible following an SBD. If the Company determines that it is unable to continue its business, West Ridge will take steps to ensure clients prompt access to investments and the underlying issuers of those investments.

3.1.1. Significant Business Disruptions

The Plan anticipates two kinds of SBDs, internal and external. Internal SBDs affect only the ability of the Company to communicate and do business, such as a fire in its building. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a city flood, or a wide-scale, regional disruption. The response of the Company to an external SBD relies more heavily on other organizations and systems.

3.1.2. BCP Designated Principal - Approval and Execution Authority

Michael D. Nestman (the "BCP Designated Principal") is the registered and designated principal responsible for approving the Plan on behalf of West Ridge, and for conducting the required annual review and testing. The BCP Designated Principal has the authority to execute this Plan.

3.1.3. Plan Location and Access

The Company will maintain copies of the Plan, the annual reviews, and the changes that have been made to it. The Firm will make such records available for inspection by the Regulators. An electronic copy of the Plan is located on the Company's computer and/or in a folder named "Business Continuity Plan."

3.1.4. Updates and Annual Review

The Company will update the Plan whenever there is a material change to the operations, structure, business, or location of West Ridge. In addition, the Company will review this Plan annually, on or before December 31st of each respective year, to modify it for any changes in the operations, structure, business, or location of West Ridge.

3.2. Emergency Contact Person

Executive management is aware of the potentially devastating financial, organizational, and political consequences of the failure of one or more mission-critical information systems. The emergency contact person for the Company is:

Michael D. Nestman, Managing Director

Office phone number: 702-547-9483
Facsimile number: 702-433-5589
E-mail address: michael@udallgroup.com

3.3. Business Description

West Ridge is registered with the SEC as an investment adviser, and notice filed in various other state regulatory jurisdictions. West Ridge provides investment advisory services and financial planning services to its clients. These services include recommending investments for client's needs, risk tolerance and other assets and obligations of the client. The Company is organized as a Limited Liability Company.

3.4. Office Location

West Ridge has set forth the main office on Attachment A, which is attached hereto and incorporated herein for all purposes. The Company has additionally set forth on Attachment A the phone number for this respective location, the means of transportation that the supervised person may use to reach each respective facility, and which systems, as defined herein, take place at each location.

3.5. Alternative Physical Locations

In the event of an SBD, we will move our supervised persons to an unaffected location, or they will work from their homes. Staff will use their cell phones, company laptops, tablets, and personal computers for contact purposes.

4. CUSTOMERS' ACCESS TO FUNDS AND SECURITIES

The Company does not maintain possession or custody of clients' funds or securities. Both client funds and securities are maintained by the Custodian Name or the investment entity where such client invested their funds. In the event of an internal or external SBD, if telephone service is available, our supervised persons will continue to manage client portfolios and receive client orders or instructions and, to the extent practicable, assist such clients in contacting the issuers of the securities on their behalf. In the event that clients of the Company are unable to access West Ridge, either at its primary phone number, or West Ridge emergency number clients will be able to contact their Custodian, and/or the respective issuers of securities directly for instructions on how they may obtain, prompt access to funds and securities, subject however, to any limitations set forth previously by such Custodian, and/or the issuers of investment products.

5. DATA BACK-UP AND RECOVERY

5.1. Electronic and Hard Copy Books and Records

The Company maintains its primary hard copy books and records and electronic records at 1140 N Town Center Drive, Suite #180, Las Vegas, NV 89144. Michael D. Nestman is responsible for the maintenance of these books and records. The Firm maintains the following document types: (i) New account documentation and forms; (ii) client confirmations and account statements; (iii) financial records, including supporting documentation; and (iv) corporate records for West Ridge.

In the event of an internal or external SBD that causes the loss of the paper records of the Company, it will physically recover them from its back-up site. If the primary site is inoperable, West Ridge will continue operations from its back-up site or an alternate location.

For the loss of electronic records, the Company will either physically recover the storage media or electronically recover data from its back-up site, or, if its primary site is inoperable, continue operations from our back-up site or an alternate location.

5.2. Back-up Procedures

5.2.1. Hard Copy Records

The Company maintains its back-up, hard copy books and records online in ShareFile or by producing a second paper copy.

5.2.2. Electronic Records

The Company utilizes a secure, cloud-based storage provider that performs automatic daily backups of all electronic records. These backups are stored in geographically redundant data centers to ensure business continuity in the event of a localized disruption. Full system backups are performed daily. Additionally, incremental backups occur throughout each business day.

6. FINANCIAL AND OPERATIONAL ASSESSMENTS

6.1. Operational Risk

In the event of an SBD, the Company will immediately identify what means will permit West Ridge to communicate with its clients, supervised persons, critical business constituents, critical banks, critical counterparties, and regulators. Although the effects of an SBD will determine the means of alternative communication, the communications options we will employ will generally include (a) facsimile; (b) email; (c) telephone; (d) telephone voice mail; (e) cellular and mobile phone service; (f) service providers, including compliance consultants, attorneys, and accountants; (g) messenger; and (h) mail service. In addition, we will retrieve our key activity records as described in the section, *Data Back-Up, and Recovery*.

6.2. Financial and Credit Risk

In the event of an SBD, the Company will determine if the business interruption causes the Company to become capital deficient or interrupt its operations to the point that the alternative measures discussed in the sections above and below cannot be implemented, the Company's clients shall be referred to the Custodian.

7. MISSION CRITICAL SYSTEMS

7.1. Mission Critical Systems - Definition

"<u>Mission critical systems</u>" are those that ensure prompt and accurate processing of securities transactions, including order taking, entry, execution, comparison, allocation, the maintenance of client accounts, access to client accounts, and the delivery of funds and securities.

7.2. Identify Business Systems

The Company will identify the mission critical systems utilized by West Ridge in providing advisory services to its clients.

7.3. Mission Critical Systems - Assessment

The Company has primary responsibility for establishing and maintaining its business relationships with clients, and the sole responsibility for maintaining our mission critical functions of client portfolio management and order taking and entry. Its mission critical systems include desktop and laptop computers, and phone systems.

7.4. Mission Critical Systems Provided by Our Custodian

Our Company relies, by contract, on Custodian to provide order execution, order comparison, order allocation, client account maintenance and/or access and delivery of funds and securities.

8. ALTERNATIVE COMMUNICATIONS BETWEEN FIRM AND CLIENTS, EMPLOYEES AND REGULATORS

8.1. Clients

West Ridge currently communicates with our clients using the telephone, email, U.S. mail, delivery services and in person visits at our offices or at the client's location. In the event of an SBD, West Ridge will assess which means of communication are still available to it, and use the means closest in speed and form, either written or oral, to the means that have been used in the past to communicate with the other party. For example, if the Company has communicated with a party by email but the Internet is unavailable, West Ridge will call them on the telephone and follow up where a record is needed with paper copy in the U.S. mail.

8.2. Employees and Supervised Persons

The Company now communicates with its employees and supervised persons using the telephone, email, and in person. In the event of an SBD, West Ridge will assess which means of communication are still available, and use the means closest in speed and form, either written or oral, to the means that have been used in the past to communicate with the other party. A copy of the corporate telephone directory, including home phone numbers and email addresses, is attached hereto as Attachment B. Michael D. Nestman shall contact and communicate with all employees and supervised persons by telephone, email, messenger or in person.

8.3. Regulators & Regulatory Reporting

With respect to regulatory reporting, we currently file reports with the respective Regulators using paper copies in the U.S. mail and delivery services, electronically using facsimile, email, and the Internet, and through service providers who provide access through the same methods. In the event of an SBD, West Ridge will check with the Regulators to determine which means of filing are still available to it and use the means closest in speed and form (written or oral) to previous filing method utilized by the Company. If the Company cannot contact its Regulators, it will continue to file required reports using the communication means available to West Ridge. Contact information regarding the Regulators with whom West Ridge files reports on a regular basis attached hereto on Attachment C.

9. CRITICAL BUSINESS CONSTITUENTS, BANKS, AND COUNTER-PARTIES

9.1. Business Constituents

The Company has contacted its critical business constituents (businesses with which West Ridge has an ongoing commercial relationship in support of its operating activities, such as vendors providing us critical services) and determined the extent to which West Ridge can continue its business relationship with them in light of the internal or external SBD. The Company will quickly establish alternative arrangements if a business constituent can no longer provide the needed goods or services when needed because of an SBD to them or

West Ridge. The Critical Business Constituents are set out on Attachment D, including the supplier's name, service and/or product, address and phone number and any alternative supplier's name, service, address, and phone number.

9.2. Counter-Parties

The Company has contacted its critical counterparties, such as other broker-dealers, companies or entities offering investment products, or institutional clients, to determine if it will be able to conduct its transactions with them considering the internal or external SBD. Where the transactions cannot be completed, West Ridge will contact those counterparties directly to make alternative arrangements to complete those transactions as soon as possible.

10. SIGNIFICANT BUSINESS DISRUPTIONS

10.1. Cybersecurity and Identity Theft

West Ridge has adopted comprehensive written policies and procedures addressing both physical security and cybersecurity ("Cybersecurity Policies and Protocols") to protect the confidentiality, integrity, and availability of client and firm records. These protocols are specifically designed to align with the nature of our advisory business and include measures to: (i) protect client records and information from reasonably foreseeable threats or hazards; (ii) implement safeguards to maintain the confidentiality of client data; and (iii) prevent the unauthorized disclosure of any information that could potentially cause harm or inconvenience to clients. Our Cybersecurity Policies and Protocols are set forth in Section 4 of our Compliance Manual, titled *Privacy, Data Protection, Cybersecurity and Identity Theft*, and are incorporated herein by reference for all purposes.

10.2. Internal SBD – Facilities Emergency Evacuation Procedures

10.2.1. Fire Emergency Evacuation Procedures

If an evacuation of any facility is necessary, follow the directions given by Michael D. Nestman, the designated Fire Marshal for the Company. If evacuation of the space is necessary because of fire, on hearing the fire alarm bells sounding continuously, employees should:

Evacuate the building by the nearest available fire exit. As always, if you see or smell smoke, you should proceed to one of the other fire exits. Remember, if you have visitors or contractors with you, instruct them to follow you.

10.2.2. Evacuation for Bombs or Other Threats

If the Company must evacuate the building facilities because of a bomb or any other threat, we will receive instructions from the building security coordinator to:

Evacuate the building by an exit route that will be announced if instructions are given to evacuate. Remember, if you have visitors or contractors with you, instruct them to follow you.

10.3. Emergency during Business Hours

All personnel of the Company should follow the standard emergency evacuation procedures above. Further instructions will be given at the designated Assembly Point.

10.4. Emergency Outside of Business Hours

In an emergency involving any employees' personal safety, it is the responsibility of every employee to check in and report their whereabouts. You should leave a voicemail on one of the following phone lines:

Michael D. Nestman

Home/Cell Phone: 702-524-4579

Office email: michael@westridgeadvisors.com

Office phone: 702-547-9483

When leaving your initial message, please include the following information:

- Your name
- Your contact number
- Where you are physically
- Whether you have access to your voicemail and email

10.5. Pandemic Recovery Outline

Our mission is to protect staff from the impact of a pandemic illness, ensure information system uptime, data integrity and availability and overall business resilience. To achieve this mission, Rhodes will attempt to minimize the potential for infection and transmission of communicable diseases or diseases that pose a credible threat of transmission in the workplace. Examples of potential risks include swine flu, active TB, SARS, seasonal flu, and Covid-19.

We will generally follow the guidelines set forth by Centers for Disease control and Prevention ("CDC"); however, the universal precautions generally include, staying at home when ill, frequent hand washing, ready access to hand sanitizer, wearing a mask to prevent airborne spreading, latex gloves, annual flu vaccinations, and encouraging supervised persons to take regular exercise and maintain a healthy and well-balanced diet.

Key trigger issues that would lead to activation of these pandemic steps include escalating loss of staff due to illness, inability to adequately manage business operations, and/or the orders of Federal, State, or local governmental entities. Based on the facts and circumstances surrounding a pandemic outbreak, the Company will decide when the BCP should be invoked, and to what extent. To this end, we will evaluate the situation to determine the impact on West Ridge's operations, determine the level of backup that will be needed, notifying appropriate emergency organizations (e.g., hospitals, clinics, etc.) if appropriate, and assigning responsibilities and activities to other supervised persons to ensure the Company's ability to maintain business operations. If the pandemic escalates to the point where a supervised persons' immediate family may be affected, the Company will notify the family members quickly. If necessary, we will move our unaffected employees to our alternative office location and/or have supervised persons work from home to restore normal business operations.

10.6. Denial of Service

When a Denial-of-Service ("DoS") attack is suspected, the first priority is to identify and confirm the attack by monitoring network traffic for unusual spikes or patterns. The Incident Management Team will direct the implementation tools such as intrusion detection systems or firewall logs which can help verify the presence and nature of the attack.

Once confirmed, West Ridge will immediately take steps to contain and mitigate the impact. This includes activating DoS protection features on firewalls or routers, blocking suspicious IP addresses or regions, and if possible, redirecting traffic through a content delivery network ("CDN") or a third-party scrubbing service that can filter malicious traffic.

Simultaneously, the Incident Management Team will notify key stakeholders, including cybersecurity teams, management, and where applicable, our hosting provider or internet service provider to determine if the external partners may provide additional support to filter or block malicious traffic upstream.

The Incident Management Team will preserve all documentation generated, including logged network activity, capturing error logs, and saving relevant data to aid in a later investigation or potential legal action.

After the attack subsides, the next step is to recover and restore services. This may involve restarting affected systems, patching vulnerabilities, and verifying that no permanent damage or compromise has occurred.

10.7. Succession Planning

West Ridge will cross-train personnel in different job functions to ensure that at least one other person has a working knowledge of each client's account. This can be accomplished by simulating unavailability of the key personnel and having back-up personnel handle accounts for a temporary period.

11. EMPLOYEE AND SUPERVISED PERSONS AWARENESS OF PLAN

The Company will take steps to both educate and increase the awareness of its employees and supervised persons with respect to West Ridges' Plan, and the current actions being taken to address such issues by the financial services industry and the Company. The Company will provide access to the Plan to all supervised persons.

12. NOTIFICATION

In addition to any action taken by West Ridge with respect to disruption of its business processes which relate to the ability of the Company to deliver financial services to its client's, West Ridge will promptly notify the appropriate Regulators of any such problems.

13. MANAGER APPROVAL

In my capacity as the BCP Designated Principal for the Company, I have approved this Business Continuity Plan for the Company, effective as of the date set forth herein, as being reasonably designed to enable West Ridge to meet its obligations to clients in the event of an SBD.

____

Michael D. Nestman, BCP Designated Principal

14. GLOSSARY

Application	A computer program or set of programs designed to help people perform certain types of work.
Assessment	The process of identifying core business areas and processes, completing an inventory, and analyzing systems supporting the core business areas, prioritizing their conversion or replacement, identifying risks and the necessary resources.
Business Function	A group of logically related tasks, which when they are performed, accomplish an objective.
Business Continuity Plan	A contingency plan describes the steps a company would take, including the activation of manual or contract processes, to ensure the continuity of its core business processes in the event which causes a business interruption induced system or process failure.
Company	West Ridge Advisors
Defect	A problem or "bug" that, if not removed, could cause a program to either produce erroneous results or otherwise fail.
Mission-Critical System	The loss of these critical functions would cause an immediate stoppage or significant impairment to core business areas.
Outsourcing	Paying another company or individual, to provide services that an organization might have otherwise performed itself, (i.e., software development, payroll).
Regulators	Refers collectively to the SEC, FINRA and various other regulatory entities having jurisdiction over the business activities of the Company.
SBD	Significant Business Disruption.
SEC	United States Securities and Exchange Commission.

Attachment B

West Ridge Advisors

Business Continuity Plan
Client Disclosure Statement

West Ridge Advisors LLC, an independent financial advisory firm, has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption, you cannot contact us as you usually do at 702-547-9483, you should go to our web site at www.westridgeadvisors.com and contact us by email.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data back-up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within a feasible period of time. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business within a feasible period of time. In either situation, we plan to continue in business and notify you through our web site www.westridgeadvisors.com on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will ensure our customer's prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at 702-547-9483.

This plan is subject to modification; an updated summary will be promptly posted on our website, and customers may alternatively obtain updated summaries by requesting a written copy by mail.

Attachment B

West Ridge Advisors

Business Continuity Plan
Office Locations

Main Office

Our main office is located at 1140 N Town Center Drive, Suite #180, Las Vegas, NV 89144. Its telephone number is 702-547-9483. Our employees may travel to that office by car or public transportation. Its mission critical systems include desktop computers, and phone systems.

Branch Offices / Offices of Convenience

Branch office is located at 1425 S. Higley Rd., Ste 106, Gilbert, AZ 85296. Its telephone number is 480-555-2007. Our employees may travel to that office by car or public transportation. Its mission critical systems include desktop computers, and phone systems.

Branch office is located at 7396 S. Union Park Ave., Ste 101, Midvale, UT 84047. Its telephone number is 801-999-4604. Our employees may travel to that office by car or public transportation. Its mission critical systems include desktop computers, and phone systems.

Branch office is located at 9465 E. Ironwood Square Dr., Ste 100, Scottsdale, AZ 85258. Its telephone number is 480-491-3900. Our employees may travel to that office by car or public transportation. Its mission critical systems include desktop computers, and phone systems.

Branch office is located at 1528 W. Warm Springs Rd, Suite #130, Henderson, NV 89014. Its telephone number is 702-547-9483. Our employees may travel to that office by car or public transportation. Its mission critical systems include desktop computers, and phone systems.

Branch office is located at 1602 NE Calavista St., Poulsbo, WA 98370. Its telephone number is 385-290-2555. Our employees may travel to that office by car or public transportation. Its mission critical systems include desktop computers, and phone systems.

Attachment C

West Ridge Advisors

Business Continuity Plan
Corporate Telephone Directory

Name:	Michael D. Nestman
Cell Phone:	702-524-4579
Home Phone:	Same as Cell
Office email:	michael@westridgeadvisors.com
Alternate email:	michael@udallgroup.com
Name:	Alan G. Erickson
Cell Phone:	801-857-5189
Home Phone:	Same as Cell
Office email:	alan@westridgeadvisors.com
Alternate email:	alan@udallgroup.com
Name:	Fraser E. Inouye
Cell Phone:	702-743-0851
Home Phone:	Same as Cell
Office email:	fraser@westridgeadvisors.com
Alternate email:	fraser@inouyecpa.com
Name:	Brian J. Stoker
Cell Phone:	801-906-1331
Home Phone:	Same as Cell
Office email:	brian@westridgeadvisors.com
Alternate email:	brstoker@gmail.com
Name:	Todd S. Udall
Cell Phone:	702-375-1826
Home Phone:	Same as Cell
Office email:	todd@westridgeadvisors.com
Alternate email:	todd@udallgroup.com
Name:	Thomas M. Udall
Cell Phone:	602-692-4546
Home Phone:	Same as Cell
Office email:	tom@westridgeadvisors.com
Alternate email:	tom@udallgroup.com

Name:	Thania P. Ayala
Cell Phone:	702-418-9412
Home Phone:	Same as Cell
Office email:	thania@westridgeadvisors.com
Alternate email:	tpgge7@gmail.com
Name:	Curtis K. Udall
Cell Phone:	702-375-0915
Home Phone:	Same as Cell
Office email:	curtis@westridgeadvisors.com
Alternate email:	curtis@udallgroup.com
Name:	Joshua Robinson
Cell Phone:	951-741-0422
Home Phone:	Same as Cell
Office email:	josh@westridgeadvisors.com
Alternate email:	joshrobinsonap@gmail.com
Name:	Darren K. Blue
Cell Phone:	480-225-5255
Home Phone:	Same as Cell
Office email:	darren@westridgeadvisors.com
Alternate email:	dblue@azskyadvisors.com
Name:	Cameron Steed
Cell Phone:	512-608-5377
Home Phone:	Same as Cell
Office email:	cameron@westridgeadvisors.com
Alternate email:	camsteed96@gmail.com
Name:	Zachary Taylor
Cell Phone:	725-30-6563
Home Phone:	Same as Cell
Office email:	zach@westridgeadvisors.com
Alternate email:	zach.taylor.home@gmail.com
Name:	Stephen Udall
Cell Phone:	702-357-6892
Home Phone:	Same as Cell
Office email:	stephen@westridgeadvisors.com
Alternate email:	sudall@icloud.com
Name:	Dexter Wadsworth
Cell Phone:	385-290-2555
Home Phone:	Same as Cell
Office email:	dexter@westridgeadvisors.com
Alternate email:	dexterwadsworth@gmail.com

Name:	Jessica Snyder-Contreras
Cell Phone:	702-469-3349
Home Phone:	Same as Cell
Office email:	jessica@westridgedvisors.com
Alternate email:	jsnycon@gmail.com

Attachment D

West Ridge Advisors

Business Continuity Plan
Regulator Contact Information

The following sets forth a list of the primary Regulators that the Company submits filings and/or reports to on a regular basis, including addresses and phone numbers:

Securities and Exchange Commission ("SEC")

SEC Headquarters
100 F Street, NE
Washington, DC 20549
Office of Investor Education and Assistance
(202) 551-6551

IARD Web Site:
https://gateway.finra.org

Attachment E

West Ridge Advisors

Business Continuity Plan
Critical Business Constituents

Primary Suppliers

Advyzon
935 West Chestnut St., Ste 301
Chicago, Il 60642
Phone: 844-829-0039
www.advyzon.com

eMoney Advisors
8910 University Center Lane, Ste 500
San Diego, CA 92122
610-684-1100
www.emoneyadvisor.com

Professional Capital Services
Ten Penn Center
1801 Market Street, Ste 100
Philadelphia, PA 19103
Phone: 888-684-6653
www.pcs401k.com

Redtail Technology
5580 W. Chandler, #1
Chandler, AZ 85226
800-206-5030
www.redtailtechnology.com

Charles Schwab Institutional
5010 Wateridge Vista Dr.
San Diego, CA 92121
Phone: 866-405-9047
www.tdameritrade.com

The LeGaye Law Firm
2002 Timberloch Drive, Suite 200
The Woodlands, TX 77380
Phone: 281-367-2454
www.legayelaw.com

Wells Fargo Bank
1411 W. Sunset Rd.
Henderson, NV 89014
702-450-5640

Citrix Systems
851 West Cypress Creek Rd.
Fort Lauderdale, FL 33309
954-267-3000

Riskalyze
470 Nevada St.
Auburn, CA 95603
530-748-1600

The Vanguard Group
153 25th Street
Del Mar, CA 92014
858-455-5070

Fidelity Investments
One Destiny Way, WA4D
Westlake, TX 76262
817-474-7805

Capital Group
333 S. Hope St. 55th FL.
Los Angeles, CA 90071
213-486-9200

John Hancock
197 Clarendon Street
Boston, MA 02116
800-732-5543

Bloomwell 529
1248 O Street, Suite 200
Lincoln, NE 68508
877.408.4644

Uptime Tech Solutions
736 Canyon Road
Boulder City, NV 89005
702-293-2864

GoDaddy
2155 E. GoDaddy Way
Tempe, AZ 85284
480-505-8877

A.W. Advisor Websites
1930 Pandora St. Ste 311
Vancouver, BC V5L0C7 Canada
866-638-0273

Fi360 Toolkit
2 Chatham Centre
Pittsburgh, PA 15219
844-394-9960